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Docket No. 2016-4005US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Stephen D. Ginsberg and Shaoli Che  
Serial No. : 10/075,335 Group Art Unit: 1645  
Filed : February 14, 2002 Examiner: TBA  
For : METHODS AND COMPOSITIONS OF AMPLIFYING RNA

**EXPRESS MAIL CERTIFICATE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV245494465USDate of Deposit: March 9, 2004

I hereby certify that the following attached paper(s) and/or fee

1. Transmittal Letter (1 page) including executed Revocation of Power of Attorney under 37 C.F.R. §1.36 and Appointment of Attorney (2 pages) and copy of an Assignment filed February 6, 2004 (4 pages); and
2. Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



PATENT  
Docket No. 1079-4015US3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Stephen D. Ginsberg and Shaoli Che  
Serial No. : 10/075,335 Group Art Unit: 1645  
Filed : February 14, 2002 Examiner: TBA  
For : METHODS AND COMPOSITIONS OF AMPLIFYING RNA

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Transmittal Letter**

Sir:

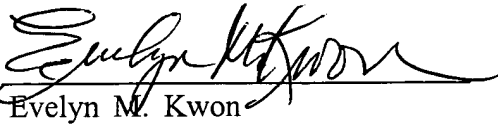
Attached hereto is the executed Revocation of Power of Attorney under 37 C.F.R.  
§1.36 and Appointment of Attorney.

Accordingly, please direct all future communication about this application to the  
following:

Eugene Moroz  
Morgan & Finnegan, LLP  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800 (Phone)  
(212) 751-6849 (Fax)

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 9, 2004

By:   
Evelyn M. Kwon  
Registration No. 54,246

Mailing Address:  
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345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile



**PATENT**  
Docket No.: **1079-4015US3**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Stephen D. Ginsberg and Shaoli Che  
Serial No. : 10/075,335 Group Art Unit: 1645  
Filed : February 14, 2002 Examiner: TBA  
For : METHODS AND COMPOSITIONS OF AMPLIFYING RNA

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REVOCATION OF POWER OF ATTORNEY UNDER 37 C.F.R. §1.36 AND**  
**APPOINTMENT OF ATTORNEY**

Sir:

The Research Foundation For Mental Hygiene, Inc. is the assignee of the entire right, title and interest in the above-identified patent application, by an Assignment filed on February <sup>6 EML</sup> 4, 2004 and a copy enclosed herewith.

As assignee of record of the entire interest of the above-identified application, I hereby revoke all powers of attorney previously given.

I hereby appoint the following attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

David H. Pfeffer (Reg. No. 19,825), Harry C. Marcus (Reg. No. 22,390), Stephen R. Smith (Reg. No. 22,615), Kurt E. Richter (Reg. No. 24,052), Eugene Moroz (Reg. No. 25,237), John F. Sweeney (Reg. No. 27,471), Arnold I. Rady (Reg. No. 26,601), Christopher A. Hughes (Reg. No. 26,914), William S. Feiler (Reg. No. 26,728), Joseph A. Calvaruso (Reg. No. 28,287), James W. Gould (Reg. No. 28,859), Richard C. Komson (Reg. No. 27,913), Israel Blum (Reg. No. 26,710), Bartholomew Verdrame (Reg. No. 28,483), Maria C.H. Lin (Reg. No. 29,323), Joseph A. DeGirolamo (Reg. No. 28,595), Michael P. Dougherty (Reg. No. 32,730), Seth J. Atlas (Reg. No. 32,454), Andrew M. Riddles (Reg. No. 31,657), Bruce D. DeRenzi (Reg. No. 33,676), Mark J. Abate (Reg. No. 32,527), John T. Gallagher (Reg. No. 35,516), Steven F. Meyer (Reg. No. 35,613), Kenneth H. Sonnenfeld (Reg. No.

Serial No. 10/075,335

Docket No.: 1079-4015US3

33,285), Tony V. Pezzano (Reg. No. 38,271), Andrea L. Wayda (Reg. 43,979), Walter G. Hanchuk (Reg. No. 35,179), John W. Osborne (Reg. No. 36,231), Robert K. Goethals (Reg. No. 36,813), Peter N. Fill (Reg. No. 38,876), Kenneth S. Weitzman (Reg. No. 36,306), Richard Straussman (Reg. No. 39,847), Stephen J. Mancita (Reg. No. 40,426), Dorothy R. Auth (Reg. No. 36,434) and Michael O. Cummings, (Reg. No. 40,575) of Morgan & Finnegan, L.L.P. whose address is: 345 Park Avenue, New York, New York, 10154; and Michael S. Marcus (Reg. No. 31,727), and John E. Hocl (Reg. No. 26,279), of Morgan & Finnegan, L.L.P., whose address is 1775 Eye Street, Suite 400, Washington, D.C. 20006.

The correspondence address to which all communications about this application are to be directed is as follows:

Eugene Moroz  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154  
(212) 415-8705 (Phone)  
(212) 751-6849 (Facsimile)

Respectfully submitted,

On behalf of The Research Foundation for Mental Hygiene

Date: 3/5/04

By: 

(Signature of person authorized  
to sign on behalf of Assignee)

(Typed or printed name of person authorized to sign on  
behalf of Assignee)

Robert E. Burke  
Managing Director

(Title of person authorized to  
sign on behalf of Assignee)

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PATENT

Docket No. 1079-4015US3

**ASSIGNMENT OF APPLICATION FOR PATENT**

WHEREAS:

421 506  
Stephen D. GINSBERG, ~~at~~ Shortridge Drive, Wynnwood, Pa 19096 USA

690 Stevia Vista Ln Valley Cottage NY 10989 USA  
~~Shaoli CHE, 79 Parkway Drive South, Orangeburg, New York 10962 USA~~ S.C.

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

**METHODS AND COMPOSITIONS OF AMPLIFYING RNA**

(title of discovery or invention)

- ☐ for which application for Letters Patent of the United States has been executed on even date herewith.
- ☒ for which provisional applications for Letters Patent of The United States have been filed on February 14, 2001 under serial no. 60/268,664; February 14, 2001 under serial no. 60/268,645; July 18, 2001 under serial no. 60/306,216; November 7, 2001 under serial no. 60/348,242; November 7, 2001 under serial no. 60/344,557; November 9, 2001 under serial no. 60/350,176; and application for Letters Patent of The United States has been filed on February 14, 2002 under Serial No. 10/075,335; and International PCT Application No. PCT/US02/05713 filed February 14, 2002, and

WHEREAS:

RESEARCH FOUNDATION FOR MENTAL HYGIENE, of:  
44 Holland Avenue, Albany, NY 12229 USA

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of one Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and

valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, I will, at any time, when called up to do so by the ASSIGNEE, its successors, legal representatives, or assigns sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's Signature:

Stephen D. Ginsberg  
Name

10-28-03

Date

Assignor's Typed Name:

Stephen  
First Name

D.  
Middle Initial

GINSBERG  
Last Name

Citizenship:

USA

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this       day of  
October, 2003.

STATE OF ) New York

COUNTY OF ) Rockland  
ss.:

On this 28th day of October, 2003, before me, the undersigned authority, personally appeared  
to me Stephen D. GINSBERG

known and known to me to be the individual who is described in and who executed the  
foregoing Assignment, and who duly acknowledged to me that he executed the same as his own  
voluntary act and deed for the uses and purposed therein specified.

Evelyn Whalen

Notary Public

EVELYN WHALEN  
Notary Public, State of New York  
Nu. 01-WH4920637

Qualified in Rockland County  
Commission Expires February 16, 2006 EW



